A. K. Capital Finance Limited
*(Formerly known as "A. K. Capital Finance Private Limited")*

**REGISTERED OFFICE :**
30-39, 3RD FLOOR, FREE PRESS HOUSE,
FREE PRESS JOURNAL MARG,
215, NARIMAN POINT, MUMBAI - 400 021 (INDIA)
CIN : U51900MH2006PLC214277
TEL. : +91 (022) 6754 6500 FAX : +91 (022) 6610 0594
E-MAIL : akmumbai@akgroup.co.in
WEBSITE : www.akgroup.co.in

**Date: April 22, 2021**

To,
BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

**Subject: Certificate under Regulation 61(4) read with Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended 31 March, 2021.**

Dear Sir/Ma'am,

Pursuant to the provisions of Regulation 61(4) read with Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, we submit herewith a Certificate from Makarand M. Joshi & Co., Practicing Company Secretary, for the half year ended 31 March, 2021.

We request you to take the above on your records.

Thanking You,

Yours faithfully,

**For A. K. Capital Finance Limited**

GOVIND LALWANI

Digitally signed by GOVIND LALWANI
DN: c=IN, o=Personal, pseudonym=578d838d2b5be53f760b6e2803e2fc035ebebcf5c91a12aecfc59b7dd3bfdef2, postalCode=302004, st=RAJASTHAN, serialNumber=ae888dfb06b0c87d8c45490ad2191c45b7bbc3f39eab91f78020345dd14cdb73, cn=GOVIND LALWANI
Date: 2021.04.22 12:11:49 +05'30'

A. K. CAPITAL FINANCE LIMITED

**Govind Lalwani**
**Company Secretary and Compliance Officer**

**ACS No: 38806**
**Place: Mumbai**

# MAKARAND M. JOSHI & CO.

Company Secretaries

Ecstasy, 803/804, 8th Floor, City of Joy, J.S.D Road, Mulund (West), Mumbai- 400080, (T) 022-21678100

## CERTIFICATE UNDER REGULATION 61(4) READ WITH 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

## FOR THE HALF YEAR ENDED 31st MARCH, 2021

We have examined Memorandum of Transfers, Registers, files and other documents relating to **M/s. A. K. Capital Finance Limited** (the "Company") maintained by **M/s Link Intime India Private Limited**, Registrars and Transfer Agents (RTA), for the purpose of issuing certificate under Regulation 61(4) read with 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and based on information provided and explanation given to us by the Company and RTA, we hereby certify that for the half year ended on 31st March, 2021:

A) There were no Share Transfer requests received during the period from 1st October, 2020 to 31st March, 2021.

B) No request of sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, deletion of name, transmission and transposition of securities was received during the period from 1st October, 2020 to 31st March, 2021.

We further report that, based on the information/ details provided to us by the Company, we hereby certify that since all the Non-Convertible Debentures were issued by the Company are in Demat form, no physical debenture certificates were required to be delivered during the period from 1st October, 2020 to 31st March, 2021 pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

**Place: Mumbai**
**Date: 20th April, 2021**

**For Makarand M. Joshi & Co.**
**Practicing Company Secretaries**

Digitally signed by KUMUDINI DINESH BHALERAO
DN: cn=KUMUDINI DINESH BHALERAO, c=IN, st=Maharashtra, o=Personal, serialNumber=2b122ddb4728ef7d84d1f74896bf197d76d5570134b080eb9a673baa4b168cc5
Date: 2021.04.20 07:52:39 +05'30'

**Kumudini Bhalerao**
**Partner**
**C P No: 6690**
**UDIN: F006667C000141109**