A. K. Capital Services Limited

REGISTERED OFFICE:
603, 6TH FLOOR, WINDSOR,
OFF CST ROAD, KALINA, SANTACRUZ (EAST),
MUMBAI – 400 098 (INDIA)
CIN: L74899MH1993PLC274881
TEL.: +91 (022) 6754 6500 I FAX: +91 (022) 6610 0594
E-MAIL: akmumbai@akgroup.co.in
WEBSITE: www.akgroup.co.in

To,
The Listing Compliance Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001

Reference : BSE Code 530499

Dear Madam/Sir,

Subject : Annual Secretarial Compliance Report for the financial year ended March 31, 2022

Pursuant to the provision of Regulation 24(A) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and SEBI Circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith Annual Secretarial Compliance Report submitted by Ragini Chokshi & Co., Company Secretaries for the financial year ended March 31, 2022.

Kindly take the above in your records and oblige.

Thanking you.
Yours faithfully,
For A. K. Capital Services Limited

Tejas Dawda

Digitally signed by Tejas Dawda
DN: cn=Tejas Dawda, o, ou, email=tejas.dawda@akgroup.co.in, c=IN
Date: 2022.05.19 11:32:17 +05'30'

Tejas Dawda
Company Secretary & Compliance Officer
ACS No.: A27660

Date: May 19, 2022
Place: Mumbai

Encl.: As above

Ragini Chokshi & Co.
Company Secretaries
34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com
web: csraginichokshi.com
Tel. : 022-2283 1120
022-2283 1134

Date : _____

Secretarial Compliance Report
of A. K. Capital Services Limited for the financial year ended March 31, 2022

[Under Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019]

We, **Ragini Chokshi & Co**., Company Secretaries, have examined:

(a) all the documents and records made available to us and explanation provided by **A. K. Capital Services Limited, having Corporate Identification Number (CIN)- L74899MH1993PLC274881 and whose equity shares are listed at BSE Limited with Scrip Code "530499"** ("the listed entity")

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

(a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the listed entity during the Review Period)**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the listed entity during the Review Period)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;**(Not Applicable to the listed entity during the Review Period)**

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the listed entity during the Review Period)**

Ragini Chokshi & Co.
Company Secretaries
34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com
web: csraginichokshi.com
Tel. : 022-2283 1120
022-2283 1134

Date : _____

(g) Securities and Exchange Board of India (Issue and Listing of Non—Convertible and Redeemable Preference Shares) Regulations,2013; **(Not Applicable to the listed entity during the Review Period)**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015**;**

(i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

(j) Securities and Exchange Board of India (Merchant Bankers) Regulations, 1992;

(k) Securities and Exchange Board of India (Investment Advisers) Regulations, 2013

and circulars/guidelines issued thereunder; and based on the above examination, we hereby report that, during the Review Period::

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(c) No action taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder

(d) There is no observation in the previous report which requires any follow-up actions by the listed entity.

FOR RAGINI CHOKSHI & CO

Company Secretaries

Date: 11/05/2022

Place: Mumbai

UMASHANKAR KRISHNA HEGDE

Digitally signed by UMASHANKAR KRISHNA HEGDE
Date: 2022.05.11 21:48:19 +05'30'

UMASHANKAR HEGDE

(PARTNER)

M.No: A22133 # CP No- 11161

UDIN: A022133D000306054

ICSI Unique Code: P1988MH05 6900

Peer Review Certificate No -659/2020