A. K. Capital Services Limited

REGISTERED OFFICE:
30-38, 3RD FLOOR, FREE PRESS HOUSE,
FREE PRESS JOURNAL MARG,
215, NARIMAN POINT, MUMBAI - 400 021 (INDIA)
CIN: L74899MH1993PLC274881
TEL.: +91 (022) 6754 6500, FAX: +91 (022) 6610 0594
E-MAIL: akmumbai@akgroup.co.in
WEBSITE: www.akgroup.co.in

To,

The Listing Compliance Department

BSE Limited

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai - 400 001

Reference: BSE Code 530499

Dear Madam/Sir,

Subject: Annual Secretarial Compliance Report for the financial year ended March 31, 2021

Pursuant to the provision of Regulation 24(A) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and SEBI Circular no CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith Annual Secretarial Compliance Report submitted by Ragini Chokshi & Co., Company Secretaries for the financial year ended March 31, 2021.

Yours faithfully,

For A. K. Capital Services Limited

TEJAS BHARAT DAWDA

Tejas Dawda

Company Secretary & Compliance Officer

ACS No.: A27660

Date: May 31, 2021

Place: Mumbai

Ragini Chokshi & Co.
Company Secretaries
34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com
web: csraginichokshi.com
Tel. : 022-2283 1120
022-2283 1134

Date : _____

Secretarial Compliance Report
of A. K. Capital Services Limited for the financial year ended March 31, 2021

[Under Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015and SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019]

We, **Ragini Choksi & Co**., Company Secretaries, have examined:

(a) all the documents and records made available to us and explanation provided by **A K Capital Services Limited, (having Corporate Identification NumberL74899MH1993PLC274881 and whose equity shares are listed at BSE Limited with Scrip Code "530499"** ("the listed entity")

(b) the filings/ submissions made by the listed entity to the stock exchanges

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

(a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the listed entity during the Review Period)**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the listed entity during the Review Period)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;**(Not Applicable to the listed entity during the Review Period)**

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the listed entity during the Review Period)**

UMASHANKAR KRISHNA HEGDE Digitally signed by UMASHANKAR KRISHNA HEGDE Date: 2021.05.21 12:28:30 +05'30'

Ragini Chokshi & Co.
Company Secretaries
34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com
web: csraginichokshi.com
Tel. : 022-2283 1120
022-2283 1134

Date : _____

(g) Securities and Exchange Board of India (Issue and Listing of Non—Convertible and Redeemable Preference Shares) Regulations,2013; **(Not Applicable to the listed entity during the Review Period)**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015**;**

(i) Securities and Exchange Board of India (Merchant Banking) Regulations, 1992;

(j) Securities and Exchange Board of India (Investment Advisers) Regulations, 2013

and circulars/guidelines issued thereunder; and based on the above examination, We hereby report that, during the Review Period:, We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(c) No action taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder

(d) There are no observations in the previous report which requires any follow-up actions by the listed entity.:

FOR RAGINI CHOKSHI & CO

UMASHANKAR KRISHNA HEGDE
Digitally signed by UMASHANKAR KRISHNA HEGDE
Date: 2021.05.21 12:28:56 +05'30'

Place: Mumbai

Date: 21/05/2021

UMASHANKAR HEGDE
(PARTNER)
M.No: A22133 # CP No- 111
UDIN: A022133C000352518