A. K. CAPITAL SERVICES LIMITED
Registered Office: 603, 6th Floor, Windsor, Off CST Road,
Kalina, Santacruz (East), Mumbai - 400 098
Merchant Banker (SEBI Registration No.: INM000010411)

Consolidated file

A. *DATA FOR THE MONTH ENDING ON OCTOBER 31, 2026:*

Sr. No.	Received from	Pending as at the end of last month	Received during the month	Resolved during the month*	Total Pending during the month#	Pending complaints > 1 month	Average Resolution time ^ (in days)
1	Directly from Investors	Nil	1$	1$	Nil	Nil	4
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	**Nil**	**1$**	**1$**	**Nil**	**Nil**	**NA**

B. *TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR THE FINANCIAL YEAR 2026-27:*

Sr. No.	Month	Carried forward from previous month	Received during particular	Resolved during particular month*	Pending at the end of particular month#
1	April, 2026	Nil	Nil	Nil	Nil
2	May, 2026	Nil	Nil	Nil	Nil
3	June, 2026	Nil	Nil	Nil	Nil
4	July, 2026	Nil	Nil	Nil	Nil
5	August, 2026	Nil	Nil	Nil	Nil
6	September, 2026	Nil	Nil	Nil	Nil
7	October, 2026	Nil	1$	1$	Nil
	Grand Total	**Nil**	**1$**	**1$**	**Nil**

$Not against the Merchant Banker

C. *TREND OF ANNUAL (FINANCIAL YEAR) DISPOSAL OF COMPLAINTS (FOR 3 YEARS ON ROLLING BASIS):*

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-25	Nil	7	7	Nil
2	2025-26	Nil	5	5	Nil
3	2026-27(1)	Nil	-	-	-
	Grand total(1)	**Nil**	**-**	**-**	**-**

(1) Information will be updated at the end of financial year
^ Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.
** Inclusive of complaints of previous months resolved in the current month.*
 # Inclusive of complaints pending as on the last day of the month.
NA- Not Applicable