ANNEXURE - 4

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i)	CIN	L74899MH1993PLC274881
ii)	Registration Date	October 5, 1993
iii)	Name of the Company	A. K. Capital Services Limited
iv)	Category/ Sub-Category of the Company	Company limited by shares/ Indian Non-Government Company
v)	Address of the Registered office and contact details	30-38, 3rd Floor, Free Press House, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400 021 Email ID: compliance@akgroup.co.in Website: www.akgroup.co.in Contact no: +91-22-6754 6500
vi)	Whether listed Company	Yes
viii)	Name, Address and Contact details of Registrar and Transfer Agent.	**Link Intime India Private Limited** C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai – 400 083 Tel. No.: +91-22-49186270 Fax: +91-22-49186060 E-mail id: rnt.helpdesk@linkintime.co.in Website: www.linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10% or more of the total turnover of the Company is stated:-

Sr. No.	Name and Description of main services	*NIC Code of the service	% to total turnover of the Company
1	Merchant Banking Fees	64990	52.41
2	Income from Investments	64990	21.77
3	Interest income from investment	64990	13.77

**As per NIC 2008*

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES/BODY CORPORATES:

Sr. No.	Name of the Company/Body Corporate	Address	Corporate Identity Number (CIN)/ Global Location Number (GLN)	% of Shares held
SUBSIDIARIES {APPLICABLE SECTION: 2(87)(ii)}				
1	A. K. Capital Finance Limited	30-39, Free Press House, 3rd Floor, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400021	U51900MH2006PTC214277	98.73 in the Equity Paid Up Share Capital 69.23 in the in the CCPS# Paid Up Share Capital
2	A. K. Stockmart Private Limited	30-39, Free Press House, 3rd Floor, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400021	U67120MH2006PTC158932	100.00
3	A. K. Wealth Management Private Limited	30-39, Free Press House, 3rd Floor, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400021	U74140MH2006PTC165653	100.00
4	A. K. Capital Corporation Private Limited	30-39, Free Press House, 3rd Floor, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400021	U65993MH2006PTC165749	100.00
5	A. K. Capital (Singapore) Pte. Ltd.	14 Robinson Road #08-01A Far East Finance Building, Singapore 048545	Company Registration No.: 201320478E	100.00
6	Family Home Finance Private Limited*	30-38, Free Press House, 3rd Floor, Free Press Journal Marg, 215, Nariman Point, Mumbai – 400021	U74999MH2017PTC296737	98.73

#CCPS means Compulsory Convertible Preference Shares.
**Family Home Finance Private Limited is the direct subsidiary of A. K. Capital Finance Limited and step-down subsidiary of the Company.*

IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i. Category-wise Share Holding

Category of Shareholder		No. of shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year (2-1)
		Demat	Physical	Total	% of Total shares (1)	Demat	Physical	Total	% of Total shares (2)	
A. Promoters										
1. Indian										
a	Individual /HUF	1,267,345	-	1,267,345	19.20	1,321,477	-	1,321,477	20.02	**0.82**
b	Central Govt.	-	-	-	-	-	-	-	-	-
c	State Govt(s)	-	-	-	-	-	-	-	-	-
d	Bodies Corp	3,002,024	-	3,002,024	45.49	3,210,873	-	3,210,873	48.65	**3.16**
e	Banks / FI	-	-	-	-	-	-	-	-	-
f	Any Other	-	-	-	-	-	-	-	-	-
Sub - total (A) (1):-		**4,269,369**	**-**	**4,269,369**	**64.69**	**4,532,350**	**-**	**4,532,350**	**68.67**	**3.98**
2. Foreign										
a	NRI - Individuals	198,275	-	198,275	3.00	-	-	-	-	**(3.00)**
b	Other - Individuals	-	-	-	-	-	-	-	-	-
c	Bodies Corp.	-	-	-	-	-	-	-	-	-
d	Banks /FI	-	-	-	-	-	-	-	-	-
e	Any Other	-	-	-	-	-	-	-	-	-
Sub - total (A) (2):-		**198,275**	-	**198,275**	**3.00**	**-**	-	**-**	**-**	**(3.00)**
Total Shareholding of Promoter (A) = (A) (1) + (A) (2)		**4,467,644**	**-**	**4,467,644**	**67.69**	**4,532,350**	-	**4,532,350**	**68.67**	**0.98**
B. Public Shareholding										
1. Institutions										
a	Mutual Funds	-	-	-	-	-	-	-	-	-
b	Banks / FI	-	-	-	-	-	-	-	-	-
c	Central Govt	-	-	-	-	-	-	-	-	-
d	State Govt(s)	-	-	-	-	-	-	-	-	-
e	Venture Capital Funds	-	-	-	-	-	-	-	-	-
f	Insurance Companies	-	-	-	-	-	-	-	-	-
g	FIIs	-	-	-	-	-	-	-	-	-
h	Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i	Others	-	-	-	-	-	-	-	-	-
Sub - total (B) (1):-		-	-	-	-	-	-	-	-	-

2. Non-Institutions										
a. Bodies Corp		581,135	100	581,235	8.81	606,233	-	606,233	9.19	**0.38**
b. Individuals										
I	Individuals shareholders holding nominal share capital upto INR 1 lakh	670,650	22,920	693,570	10.51	592,077	21,720	613,797	9.30	**(1.21)**
II	Individuals shareholders holding nominal share capital in excess of INR 1 lakh	728,715	25,000	753,715	11.42	767,270	-	767,270	11.62	**0.20**
c. Others										
I	Non Resident Indian	19,107	-	19,107	0.29	23,852	-	23,852	0.36	**0.07**
II	Clearing Members	19,480	-	19,480	0.29	777	-	777	0.01	**(0.28)**
III	Hindu Undivided Family	58,036	-	58,036	0.88	48,510	-	48,510	0.74	**(0.14)**
IV	IEPF	7,211	-	7,211	0.11	7,211	-	7,211	0.11	**-**
V	NBFCs registered with RBI	2	-	2	0.00	-	-	-	-	**-**
Sub - total (B) (2)		**2,084,336**	**48,020**	**2,132,356**	**32.31**	**2,045,930**	**21,720**	**2,067,650**	**31.33**	**(0.98)**
Total Public Shareholding (B)=(B)(1) + (B) (2)		**2,084,336**	**48,020**	**2,132,356**	**32.31**	**2,045,930**	**21,720**	**2,067,650**	**31.33**	**(0.98)**
C. Shares held by custodian for GDRs & ADRs		**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Grand Total (A+B+C)		**6,551,980**	**48,020**	**6,600,000**	**100.00**	**6,578,280**	**21,720**	**6,600,000**	**100.00**	**-**

Note: Figures in bracket indicates negative changes
The rounding off of percentages could result into arithmetical differences
Details have been considered as the date on which beneficiary position was provided by the Depositories/RTA of the Company.

ii. Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Share pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Share pledged/ encumbered to total shares	
1	A. K. Mittal	689,965	10.45	-	1,036,108	15.70	-	**5.25**
2	A. K. Mittal (HUF)	45,900	0.70	-	45,900	0.70	-	-
3	Abhinav Kumar Mittal	262,011	3.97	-	-	-	-	**(3.97)**
4	Aditi Mittal	149,470	2.26	-	149,470	2.26	-	**-**
5	Anshu Aggarwal	198,275	3.00	-	-	-	-	**(3.00)**
6	Dinesh Kumar Gupta	37,500	0.57	-	7,500	0.11	-	**(0.46)**
7	Kavita Garg	24,899	0.38	-	24,899	0.38	-	-
8	Sanjiv Kumar	29,100	0.44	-	29,100	0.44	-	-
9	Sanjeev Kumar (HUF)	28,500	0.43	-	28,500	0.43	-	**-**
10	Family Home Consultancy Services Private Limited *[Formerly known as "Second Leasing Private Limited"]*	3,002,024	45.49	-	3,210,873	48.65	-	**3.16**
	Total	**4,467,644**	**67.69**	-	**4,532,350**	**68.67**	-	**0.51**

Note: Figures in bracket indicates negative changes
The rounding off of percentages could result into arithmetical differences.
Promoters includes promoter group.

iii. Change in Promoters' Shareholding.

Sr. No.	Name of the Promoter	Shareholding at the beginning/end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	**Atul Kumar Mittal**				
	At the beginning of the year	689,965	10.45	689,965	10.45
	Market Purchase on 11.03.2020	268	0.00	690,233	10.46
	Market Purchase on 12.03.2020	589	0.01	690,822	10.47
	Inter-se transfer between members of Promoter Group on 24.03.2020	345,286	5.23	1,036,108	15.70
	At the end of the year	**1,036,108**	**15.70**	**1,036,108**	**15.70**
2	**Family Home Consultancy Services Private Limited**				
	At the beginning of the year	3,002,024	45.49	3,002,024	45.49
	Market Purchase on 19.09.2019	15,720	0.24	3,017,744	45.72
	Market Purchase on 20.09.2019	1,940	0.03	3,019,684	45.75
	Market Purchase on 26.09.2019	2,825	0.04	3,022,509	45.80
	Market Purchase on 27.09.2019	3,220	0.05	3,025,729	45.84
	Market Purchase on 23.12.2019	7,305	0.11	3,033,034	45.96
	Market Purchase on 24.12.2019	9,855	0.15	3,042,889	46.10
	Market Purchase on 26.12.2019	2,049	0.03	3,044,938	46.14
	Market Purchase on 27.12.2019	9,825	0.15	3,054,763	46.28
	Market Purchase on 30.12.2019	1,640	0.02	3,056,403	46.31
	Market Purchase on 31.12.2019	33,927	0.51	3,090,330	46.82
	Market Purchase on 23.03.2020	4,870	0.07	3,095,200	46.90
	Inter-se transfer between members of Promoter Group on 24.03.2020	115,673	1.75	3,210,873	48.65
	At the end of the year	**3,210,873**	**48.65**	**3,210,873**	**48.65**
3	**Dinesh Kumar Gupta**				
	At the beginning of the year	37,500	0.57	37,500	0.57
	Inter-se transfer between members of Promoter Group on 31.12.2019	(30,000)	(0.45)	7,500	0.11
	At the end of the year	**7,500**	**0.11**	**7,500**	**0.11**
4	**Anshu Aggarwal**				
	At the beginning of the year	198,275	3.00	198,275	3.00
	Inter-se transfer between members of Promoter Group on 24.03.2020	(198,275)	(3.00)	-	-
	At the end of the year	**-**	**-**	**-**	**-**
5	**Abhinav Kumar Mittal**				
	At the beginning of the year	262,011	3.97	262,011	3.97
	Inter-se transfer between members of Promoter Group on 24.03.2020	(262,011)	(3.97)	-	-
	At the end of the year	**-**	**-**	**-**	**-**

Note: The rounding off of percentage could result into arithmetical differences
There is no change in the other Promoters shareholding during the year
Figures in bracket indicates negative changes
Promoters includes promoter group.

iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders (Name of the Shareholder)	Shareholding at the beginning/ end of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the Reason for increase /decrease			Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of shares	% of total shares of the Company
1	A. M. Credit Analysis and Research LLP	371,000	5.62	No change in the shareholding during the year				
	At the end of the year	**371,000**	**5.62**					
2	Harigovind Bajranglal Murarka	187,735	2.84	No change in the shareholding during the year				
	At the end of the year	**187,735**	**2.84**					
3	Shri Shyam Advisory Services Private Limited	89,167	1.35	No change in the shareholding during the year				
	At the end of the year	**89,167**	**1.35**					
4	Vijai Kumar Goel	69,400	1.05	No change in the shareholding during the year				
	At the end of the year	**69,400**	**1.05**					
5	Divya Goel	65,800	1.00	No change in the shareholding during the year				
	At the end of the year	**65,800**	**1.00**					
6	Ankur Goel	63,900	0.97	No change in the shareholding during the year				
	At the end of the year	**63,900**	**0.97**					
7	Archana Goel	64,700	0.98	27.12.2019	(7,700)	Sale	57,000	0.86
	At the end of the year	**57,000**	**0.86**					
8	Annu Garg	46,500	0.70	No change in the shareholding during the year				
	At the end of the year	**46,500**	**0.70**					
9	Abhishek Kumar	45,600	0.69	No change in the shareholding during the year				
	At the end of the year	**45,600**	**0.69**					
10	Chander Kant Arora	40,523	0.61	29.11.2019	200	Purchase	40,723	0.62
				20.03.2020	25		40,748	0.62
				27.03.2020	200		40,948	0.62
	At the end of the year	**40,948**	**0.62**					

Note:
The shares of the Company are traded on daily basis and hence the datewise increase/decrease in shareholding is not indicated.
Details have been considered as the date on which beneficiary position was provided by the Depositories/RTA of the Company.
Figures in bracket indicates negative changes
The rounding off of percentage could result into arithmetical differences
Shareholding is consolidated based on permanent account number (PAN) of the shareholder.

v. Shareholding of Directors and Key Managerial Personnel:

A. Directors

Sr. No.	Name of the Director	Shareholding at the beginning/ end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	**Atul Kumar Mittal**				
	At the beginning of the year	689,965	10.45	689,965	10.45
	Market Purchase on 11.03.2020	268	0.00	690,233	10.46
	Market Purchase on 12.03.2020	589	0.01	690,822	10.47
	Inter-se transfer between Promoters on 24.03.2020	345,286	5.23	1,036,108	15.70
	At the end of the year	**1,036,108**	**15.70**	**1,036,108**	**15.70**
2	**Aditi Mittal**				
	At the beginning of the year	149,470	2.26	149,470	2.26
		No change in the shareholding during the year			
	At the end of the year	**149,470**	**2.26**	**149,470**	**2.26**
3	**Ashish Agarwal**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**
4	**Vikas Jain**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**
5	**Subhash Chandra Bhargava**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**
6	**Subhash Chander Madan**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**
7	**Khimji Shamji Pandav**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**

B. Key Managerial Personnel (other than directors)

Sr. No.	Name of the Key Managerial Personnel	Shareholding at the beginning/ end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	**Mahesh Bhootra**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**
2	**Tejas Davda**				
	At the beginning of the year	-	-	-	-
		No transactions/trade executed during the year			
	At the end of the year	**-**	**-**	**-**	**-**

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

(Amount in INR in Lakhs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	7,284.28	-	245.30	7,529.58
ii) Interest due but not paid	-	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	**7,284.28**	**0**	**245.30**	**7,529.58**
Change in Indebtedness during the financial year				
• Addition	1,234.31	0	22.07	1,256.38
• Reduction	(4,828.97)	0	0	(4,828.97)
Net Change	**(3,594.66)**	**0**	**22.07**	**(3,572.59)**
Indebtedness at the end of the financial year				
i) Principal Amount	3,689.62	0	267.37	3,956.99
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	**3,689.62**	**0**	**267.37**	**3,956.99**

Note: Figures in bracket indicates negative changes

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and /or Manager:

(Amount in INR)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Ashish Agarwal (WTD)	Vikas Jain (WTD)	
1	Gross salary (a)Salary as per provisions contained u/s 17(1) of the Income-tax Act, 1961	1,15,05,008	1,55,05,008	**2,70,10,016**
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	**-**
	(c) Profits in lieu of salary u/s 17(3) Income-tax Act, 1961	-	-	**-**
2	Stock option	-	-	**-**
3	Sweat Equity	-	-	**-**
4	Commission	-	-	**-**
	- as % of profit	-	-	**-**
	- others	-	-	**-**
5	Others	-	-	**-**
	Total (A)	**1,15,05,008**	**1,55,05,008**	**2,70,10,016**
	Ceiling as per the Act	**The Total Managerial Remuneration is within the ceiling prescribed**		

B. Remuneration to other directors:

(Amount in INR)

Sr. No.	Particulars of Remuneration	Name of Directors				Total Amount
		Subhash Chandra Bhargava	Subhash Chander Madan	Khimji Shamji Pandav	Aditi Mittal	
1	Independent Directors					-
	Fee for attending board/ committee meetings	1,25,000	1,25,000	1,25,000	-	**3,75,000**
	Commission	-	-	-	-	-
	Others	-	-	-	-	-
	Total (1)	**1,25,000**	**1,25,000**	**1,25,000**	-	**3,75,000**
2	Other Non-Executive Directors					
	Fee for attending board/ committee meetings	-	-	-	75,000	**75,000**
	Commission	-	-	-	-	-
	Others	-	-	-	-	-
	Total (2)	-	-	-	**75,000**	**75,000**
	Total (B)=(1+2)	**1,25,000**	**1,25,000**	**1,25,000**	**75,000**	**4,50,000**
	Total Managerial Remuneration (A+B)					**2,74,60,016**
	Overall Ceiling as per the Act	**The Total Managerial Remuneration is within the ceiling prescribed.**				

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Amount in INR)

Sr No.	Particulars of Remuneration	Key Managerial Personnel		
		Mahesh Bhootra (Chief Financial Officer)	Tejas Davda (Company Secretary)	Total
1	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	77,70,614	18,56,364	**96,26,978**
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission -as % of profit	-	-	-
5	Others	-	-	-
	Total	**77,70,614**	**18,56,364**	**96,26,978**

VII. PENALTIES/ PUNISHMENT/ COMPUNDING OF OFFENCES:

There were no penalties / punishment / compounding of offences for breach of any section of the Companies Act, 2013, against the Company or its Directors or other officers in default, if any, during the year.

For A. K. Capital Services Limited

Place: Mumbai
Date: August 14, 2020

A. K. Mittal
Managing Director
(DIN: 00698377)

Vikas Jain
Whole-time Director
(DIN: 07887754)