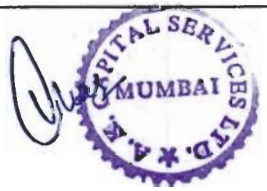
Annexure I

Introductory sub-table (I)(a)

| Name of the Company: A. K. Capital Services Limited | | | |
|---|---|---|---|
| Scrip Code, Name of the scrip, class of security: 530499, AKCAPIT, Equity | | | |
| Quarter ended: June 30, 2013 | | | |
| | | | |
| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
| Held by promoter/promoter group | - | - | - |
| Held by public | - | - | - |
| Total (D) | - | - | - |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | - | - | - |
| Held by public | - | - | - |
| Total (E) | - | - | - |
| Warrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
| Held by promoter/promoter group | - | - | - |
| Held by public | - | - | - |
| Total (F) | - | - | - |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total {(A+B+C) + (D+E+F)} | 6,600,000 | | 100.00 |



## Statement Showing Shareholding Pattern

Table (I)(a)

| Category code | Category of Shareholder | Number of Shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---|---|---|---|---|---|---|---|---|
| | | | | | As a percentage of (A+B)[1] | As a percentage of (A+B+C) | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| (A) | **Shareholding of Promoter and Promoter Group[2]** | | | | | | | |
| 1 | **Indian** | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 15 | 1,317,556 | 1,317,556 | 19.96 | 19.96 | - | - |
| (b) | Central Government/ State Government(s) | - | - | - | - | - | - | - |
| (c) | Bodies Corporate | 4 | 2,554,670 | 2,554,670 | 38.71 | 38.71 | - | - |
| (d) | Financial Institutions/ Banks | - | - | - | - | - | - | - |
| (e) | Any Others(Specify) | - | - | - | - | - | - | - |
| | | | | | | | | |
| | **Sub Total (A)(1)** | 19 | 3,872,226 | 3,872,226 | 58.67 | 58.67 | - | - |
| | | | | | | | | |
| 2 | **Foreign** | | | | | | | |
| (a) | Individuals (Non-Residents Individuals/ Foreign Individuals) | - | - | - | - | - | - | - |
| (b) | Bodies Corporate | - | - | - | - | - | - | - |
| (c) | Institutions | - | - | - | - | - | - | - |
| (d) | Qualified Foreign Investor | - | - | - | - | - | - | - |
| (e) | Any Others(Specify) | - | - | - | - | - | - | - |
| | | | | | | | | |
| | **Sub Total (A)(2)** | - | - | - | - | - | - | - |
| | | | | | | | | |
| | **Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)** | 19 | 3,872,226 | 3,872,226 | 58.67 | 58.67 | - | - |
| | | | | | | | | |
| (B) | **Public shareholding** | | | | | | | |
| 1 | **Institutions** | | | | | | | |
| (a) | Mutual Funds/ UTI | - | - | - | - | - | - | - |
| (b) | Financial Institutions / Banks | - | - | - | - | - | - | - |
| (c) | Central Government/ State Government(s) | - | - | - | - | - | - | - |
| (d) | Venture Capital Funds | - | - | - | - | - | - | - |
| (e) | Insurance Companies | - | - | - | - | - | - | - |
| (f) | Foreign Institutional Investors | 1 | 869 | 869 | 0.01 | 0.01 | - | - |
| (g) | Foreign Venture Capital Investors | - | - | - | - | - | - | - |
| (h) | Qualified Foreign Investor | - | - | - | - | - | - | - |
| (i) | Any Other (specify) | - | - | - | - | - | - | - |
| | | | | | | | - | - |
| | **Sub-Total (B)(1)** | 1 | 869 | 869 | 0.01 | 0.01 | - | - |
| | | | | | | | | |
| B 2 | **Non-institutions** | | | | | | | |
| (a) | Bodies Corporate | 158 | 1,204,510 | 1,204,510 | 18.25 | 18.25 | - | - |
| (b) | Individuals | | | | | | | |
| I | Individuals - i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 2,379 | 651,204 | 594,883 | 9.87 | 9.87 | - | - |
| II | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 23 | 775,639 | 750,639 | 11.75 | 11.75 | - | - |
| (c) | Qualified Foreign Investor | - | - | - | - | - | - | - |
| (d) | Any Other (Non Resident Indians) | 47 | 27,793 | 27,793 | 0.42 | 0.42 | - | - |
| (d-i) | Clearing Members | 8 | 10,369 | 10,369 | 0.16 | 0.16 | - | - |
| (d-ii) | Hindu Undivided Family | 98 | 57,390 | 57,390 | 0.87 | 0.87 | - | - |
| | | | | | | | | |
| | **Sub-Total (B)(2)** | 2,713 | 2,726,905 | 2,645,584 | 41.32 | 41.32 | - | - |
| | | | | | | | | |
| (B) | **Total Public Shareholding (B) = (B)(1)+(B)(2)** | 2,714 | 2,727,774 | 2,646,453 | 41.33 | 41.33 | - | - |
| | | | | | | | | |
| | **TOTAL (A)+(B)** | 2,733 | 6,600,000 | 6,518,679 | 100.00 | 100.00 | - | - |
| | | | | | | | | |
| (C) | **Shares held by Custodians and against which Depository Receipts have been issued** | | | | | | | |
| 1 | Promoter and Promoter Group | - | - | - | - | - | - | - |
| 2 | Public | - | - | - | - | - | - | - |
| | **Sub-Total (C )** | - | - | - | - | - | - | - |
| | | | | | | | | |
| | **GRAND TOTAL (A)+(B)+(C)** | 2,733 | 6,600,000 | 6,518,679 | 100.00 | 100.00 | - | - |

A.K. CAPITAL SERVICES LTD. MUMBAI

(I)(b) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

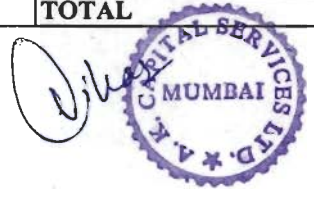
| Sr. No. | Name of the shareholder | Details of Shares held | | Encumbered shares (*) | | | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Number of shares held | As a % of grand total (A) +(B) +( C ) | No. | As a percentage | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a ) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | |
| (I) | (II) | (III) | (IV) | (V) | (VI)=(V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | A K Mittal | 271,400 | 4.11 | - | - | - | - | - | - | - | 4.11 |
| 2 | A K Mittal | 235,418 | 3.57 | - | - | - | - | - | - | - | 3.57 |
| 3 | A K Mittal (HUF) | 45,900 | 0.70 | - | - | - | - | - | - | - | 0.70 |
| 4 | Abhinav Kumar | 17,200 | 0.26 | - | - | - | - | - | - | - | 0.26 |
| 5 | Abhinav Kumar Mittal | 187,864 | 2.85 | - | - | - | - | - | - | - | 2.85 |
| 6 | Aditi | 23,600 | 0.36 | - | - | - | - | - | - | - | 0.36 |
| 7 | Aditi Mittal | 71,600 | 1.08 | - | - | - | - | - | - | - | 1.08 |
| 8 | Anshu | 172,275 | 2.61 | - | - | - | - | - | - | - | 2.61 |
| 9 | Dinesh Kumar Gupta | 52,500 | 0.80 | - | - | - | - | - | - | - | 0.80 |
| 10 | Kavita Garg | 3,699 | 0.06 | - | - | - | - | - | - | - | 0.06 |
| 11 | Kavita Garg | 21,200 | 0.32 | - | - | - | - | - | - | - | 0.32 |
| 12 | Sanjeev Kumar | 29,100 | 0.44 | - | - | - | - | - | - | - | 0.44 |
| 13 | Sanjeev Kumar (HUF) | 28,500 | 0.43 | - | - | - | - | - | - | - | 0.43 |
| 14 | Shyam Lata Garg | 26,000 | 0.39 | - | - | - | - | - | - | - | 0.39 |
| 15 | Sneh Lata Mittal | 131,300 | 1.99 | - | - | - | - | - | - | - | 1.99 |
| 16 | A. K. Capital Markets Limited | 957,000 | 14.50 | - | - | - | - | - | - | - | 14.50 |
| 17 | A. K. Services Private Limited | 500 | 0.01 | - | - | - | - | - | - | - | 0.01 |
| 18 | A. K. Services Private Limited | 859,800 | 13.03 | - | - | - | - | - | - | - | 13.03 |
| 19 | Second Leasing Private Limited | 737,370 | 11.17 | - | - | - | - | - | - | - | 11.17 |
| | | | | | | | | | | | |
| | TOTAL | 3,872,226 | 58.67 | - | - | - | - | - | - | - | 58.67 |

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

A.K. CAPITAL SERVICES LTD. * MUMBAI

(I)(c)(i) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---|---|---|---|---|---|---|---|---|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | A M Credit Analysis and Research Limited | 371,000 | 5.62 | - | - | - | - | 5.62 |
| 2 | Dipco Estates Private Limited | 181,272 | 2.75 | - | - | - | - | 2.75 |
| 3 | Namokar Commercial Private Limited | 98,467 | 1.49 | - | - | - | - | 1.49 |
| 4 | Marwadi Shares & Finance Limited | 80,200 | 1.22 | - | - | - | - | 1.22 |
| 5 | Radhakishan S Damani | 78,442 | 1.19 | - | - | - | - | 1.19 |
| 6 | Vijai Kumar Goel | 69,400 | 1.05 | - | - | - | - | 1.05 |
| | **TOTAL** | **878,781** | **13.31** | - | - | - | - | **13.31** |



(I)(c)(ii) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---|---|---|---|---|---|---|---|---|
| | | | | Number of warrants | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | A M Credit Analysis and Research Limited | 371,000 | 5.62 | - | - | - | - | 5.62 |
| | | | | | | | | |
| | **TOTAL** | **371,000** | **5.62** | - | - | - | - | **5.62** |

A.K. CAPITAL SERVICES LTD. MUMBAI

(I)(d) **Statement showing details of locked-in shares**

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Promoter/Promoter Group/Public |
|---|---|---|---|---|
| 1 | | - | - | - |
| 2 | | - | - | - |
| 3 | | - | - | - |
| 4 | | - | - | - |
| 5 | | - | - | - |
| 6 | | - | - | - |
| 7 | | - | - | - |
| 8 | | - | - | - |
| 9 | | - | - | - |
| | | | | |
| | TOTAL | - | - | - |

NIL

A.K. CAPITAL SERVICES LTD. MUMBAI

(II)(a) **Statement showing details of Depository Receipts (DRs)**

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---|---|---|---|---|
| 1 | | - | - | - |
| 2 | | - | - | - |
| 3 | | - | - | - |
| 4 | | - | - | - |
| 5 | | - | - | - |
| 6 | | - | - | - |
| 7 | | - | - | - |
| 8 | | - | - | - |
| 9 | | - | - | - |
| | | | | |
| | TOTAL | - | - | - |

N.I.L

A.K. CAPITAL SERVICES LTD. MUMBAI

(II)(b) **Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---|---|---|---|---|
| 1 | | - | - | - |
| 2 | | - | - | - |
| 3 | | - | - | - |
| 4 | | - | - | - |
| 5 | | - | - | - |
| 6 | | - | - | - |
| 7 | | - | - | - |
| 8 | | - | - | - |
| 9 | | - | - | - |
| | | | | |
| | TOTAL | - | - | - |

NIL

