A. K. WEALTH MANAGEMENT PRIVATE LIMITED

Registered Office: 603, 6th Floor, Windsor, Off CST Road, Kalina, Santacruz (East), Mumbai – 400 098

Portfolio Manager (SEBI Registration No.: INP000003674)

A. *DATA FOR THE MONTH ENDING ON OCTOBER 31, 2026:*

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1.	Directly from Investors	NA	Nil	Nil	Nil	Nil	NA
2.	SEBI (SCORES)	NA	Nil	Nil	Nil	Nil	NA
3.	Other Sources (if any)	NA	Nil	Nil	Nil	Nil	NA
	Grand Total	**NA**	**Nil**	**Nil**	**Nil**	**Nil**	**NA**

B. *TREND OF MONTHLY DISPOSAL OF COMPLAINTS:*

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1.	April, 2026	NA	Nil	Nil	Nil
2.	May, 2026	NA	Nil	Nil	Nil
3.	June, 2026	NA	Nil	Nil	Nil
4.	July, 2026	NA	Nil	Nil	Nil
5.	August, 2026	NA	Nil	Nil	Nil
6.	September, 2026	NA	Nil	Nil	Nil
7.	October, 2026	NA	Nil	Nil	Nil
	Grand Total	**NA**	**Nil**	**Nil**	**Nil**

C. *TREND OF ANNUAL DISPOSAL OF COMPLAINTS:*

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1.	FY 2021-22	NA	Nil	Nil	Nil
2.	FY 2022-23	NA	Nil	Nil	Nil
3.	FY 2023-24	NA	Nil	Nil	Nil
4.	FY 2024-25	NA	Nil	Nil	Nil
5.	FY 2025-26	NA	Nil	Nil	Nil
	Grand Total	**NA**	**Nil**	**Nil**	**Nil**

Note:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

 # Inclusive of complaints pending as on the last day of the month.

*** Inclusive of complaints of previous years resolved in the current year.*

Inclusive of complaints pending as on the last day of the year.

NA – Not Applicable